



TÜV SÜD FSC Certification Body: fat@tuvsud.com

FSC Trademark Approvals at TÜV SÜD: fsc.trademark@tuvsud.com

FSC international: <https://fsc.org/> FSC certificates database: <http://info.fsc.org/>

Client No. (iCert client No.)		372314-01
Date of the Audit Report		2022-10-18
Type of Audit		<input type="checkbox"/> Certification Audit <input type="checkbox"/> 3 Surveillance Audit <input type="checkbox"/> 1 Surveillance Audit <input checked="" type="checkbox"/> 4 Surveillance Audit <input type="checkbox"/> 2 Surveillance Audit <input type="checkbox"/> Re-certification Audit (<i>iCert: Repeat Audit</i>) <input type="checkbox"/> Transfer audit, previous certification body:
Relevant standard(s)		FSC-STD-40-004 V3-1 (CoC) FSC-STD-50-001 V2-1 (Trademark) <input type="checkbox"/> FSC-STD-40-003 V2-1 (Multisite/Group) <input type="checkbox"/> FSC-STD-40-005 V3-1 (CW) <input type="checkbox"/> FSC-STD-40-007 V2-0 (Reclaimed Material)
Audit Date on Site		2022-08-29 - 2022-08-29
Due Date for the next audit (last day of the previous audit)		2023-07-16
Client	Company Name	Dongguan Brothersbox Industrial Co., Ltd. 东莞宏晋实业有限公司
	Physical Address (Street No., ZIP code, city, country) <i>only central site, sites in part 4 of the report</i>	No.17, Jinfu 2nd Road, Shibu, Liaobu Town, PRC - 523405 Dongguan City, Guangdong Province 中国-523405 广东省东莞市寮步镇石步金富二路 17 号
	Registration Address if different from physical location (Post Box, etc)	Registration Address is same as physical location 同上
	Website	None
	Audit Contact Person (Phone / Fax / E-Mail)	Mr. Michael Lu 卢斌先生(0086-769-38893686 / 0086-13825711696 / 0086-769- 83037911 / enquiry@brothersbox.com)
Lead Auditor / Auditor/ Technical Expert		赵永德 Joseph Yongde Zhao / NA
Trainee		NA
FSC (CoC and CW) Certification Code(s)		TSUD-COC-001109
Date of Certificate Issuance		17.09.2018
Attachments to the Audit Report		<input type="checkbox"/> Documents:

Audit Result	Certification Decision
<input checked="" type="checkbox"/> Requirements of the standard satisfied The company has a control system that is capable of ensuring that all applicable requirements are implemented by every operational site, including non-certified suppliers as part of FSC CW and reclaimed material verification programmes, and contractors as part of outsourcing agreements, within the scope of evaluation	<input type="checkbox"/> Certificate issuance <input checked="" type="checkbox"/> Continuing validity of certificate <input type="checkbox"/> Continuing validity of certificate and certificate scope extension or limitation (see details in the report)
<input type="checkbox"/> Requirements of the standard not satisfied – see annex 1 of the report for details	<input type="checkbox"/> Re-audit required onsite <input type="checkbox"/> Submission of Additional Documentation Necessary (document-based re-audit) <input type="checkbox"/> Certificate Suspension or Termination Justification for Suspension or Termination:

You can find the **„Procedure for dealing with complaints, appeals and disputes”** on the [TÜV SÜD Czech web page](#). Please, follow the procedure in case of any complaint or appeal.

Data processing information:

Personal data will be processed as part of the certification process. Details regarding the processing of data can be found in our "Data processing information for employees of customers and other contractual partners" available online at <https://www.tuvsud.com/cs-cz/gdpr>.

PART 1: COMPANY CHAIN OF CUSTODY

Certification Scope & General Information																																						
Scope of FSC Certificate: <i>In case of updated certificate scopes, please enter the new scope here</i>	Manufacturing (transfer system) and sales of FSC 100%, FSC Mix and FSC Recycled paper packaging, paper stationery, printed materials and other pulp and paper products n.e.c																																					
FSC Controlled Wood Certificate Code number required? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (Relevant for any sales of FSC Controlled Wood – Part 5 of the report shall be filled in that case)																																						
Certification Type:	<input checked="" type="checkbox"/> Single Certification <input type="checkbox"/> Single Certification with Multiple Sites <input type="checkbox"/> Group Certification <input type="checkbox"/> Multisite certification																																					
Number of employees (only for single site – multisite see part 4 of the report)	96																																					
The Certification Body is required by FSC to enter the data below in the non-public section of the FSC database: This data is the basis for calculating the FSC “Annual Administration Fee” (AAF) charged to the certificate holder as per the FSC policy FSC-POL-20-005.																																						
FSC Annual Administration Fee (AAF) Size Class: Annual Turnover in USD – required until July 2022																																						
	<table border="1"> <thead> <tr> <th>FSC AAF Class</th> <th colspan="2">Annual Turnover in USD</th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/> Class 1</td> <td>< 200.000 US\$ to</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/> Class 2</td> <td>200.000 US\$ to</td> <td>1.000.000 US\$</td> </tr> <tr> <td><input type="checkbox"/> Class 3</td> <td>1.000.000 US\$ to</td> <td>5.000.000 US\$</td> </tr> <tr> <td><input type="checkbox"/> Class 4</td> <td>5.000.000 US\$ to</td> <td>25.000.000 US\$</td> </tr> <tr> <td><input type="checkbox"/> Class 5</td> <td>25.000.000 US\$ to</td> <td>100.000.000 US\$</td> </tr> <tr> <td><input type="checkbox"/> Class 6</td> <td>100.000.000 US\$ to</td> <td>500.000.000 US\$</td> </tr> <tr> <td><input type="checkbox"/> Class 7</td> <td>500.000.000 US\$ to</td> <td>1.000.000.000 US\$</td> </tr> <tr> <td><input type="checkbox"/> Class 8</td> <td>1.000.000.000 US\$ to</td> <td>2.000.000.000 US\$</td> </tr> <tr> <td><input type="checkbox"/> Class 9</td> <td>2.000.000.000 US\$ to</td> <td>3.000.000.000 US\$</td> </tr> <tr> <td><input type="checkbox"/> Class 10</td> <td>3.000.000.000 US\$ to</td> <td>5.000.000.000 US\$</td> </tr> <tr> <td><input type="checkbox"/> Class 10+</td> <td>> 5.000.000.000 US\$ to</td> <td></td> </tr> </tbody> </table>		FSC AAF Class	Annual Turnover in USD		<input type="checkbox"/> Class 1	< 200.000 US\$ to		<input checked="" type="checkbox"/> Class 2	200.000 US\$ to	1.000.000 US\$	<input type="checkbox"/> Class 3	1.000.000 US\$ to	5.000.000 US\$	<input type="checkbox"/> Class 4	5.000.000 US\$ to	25.000.000 US\$	<input type="checkbox"/> Class 5	25.000.000 US\$ to	100.000.000 US\$	<input type="checkbox"/> Class 6	100.000.000 US\$ to	500.000.000 US\$	<input type="checkbox"/> Class 7	500.000.000 US\$ to	1.000.000.000 US\$	<input type="checkbox"/> Class 8	1.000.000.000 US\$ to	2.000.000.000 US\$	<input type="checkbox"/> Class 9	2.000.000.000 US\$ to	3.000.000.000 US\$	<input type="checkbox"/> Class 10	3.000.000.000 US\$ to	5.000.000.000 US\$	<input type="checkbox"/> Class 10+	> 5.000.000.000 US\$ to	
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Main activity for FSC Annual Administration Fee (AAF):																																						
<input type="checkbox"/> <u>only trading</u> (no processing or transformation of products, also not through outsourcers) <input checked="" type="checkbox"/> <u>any other business</u> (including organizations that are both trading and processing)																																						
	Local currency	Converted in USD *																																				
The certificate holder’s “Forest Products Turnover” referring to the last fiscal year	4532894	737056																																				
The certificate holder’s total revenue / turnover	4532894	737056																																				
* As per FSC request, “the conversion rate [...] is the respective rate on the date of the CH’s fiscal year-end as per the mid-market rate on OANDA” (https://www1.oanda.com/currency/converter/). “If it is not possible to access Oanda [...], the figure from the National Bank shall instead be used. For Chinese CHs, this website shall be used: http://www.safe.gov.cn/safe/rmbhztzj/index.html ”																																						
Start date of the certificate holder’s fiscal year	01 Jan 2021																																					

End date of the certificate holder's fiscal year

31 Dec 2021

FSC Product Groups included in the scope of the certificate and published in the FSC database.
The company cannot sell any products as certified, which is not included in this table!
In case of new product groups that the Certificate Holder requires, please enter them here and highlight them accordingly.

Company / Site	Product type and FSC code	Trade name (if applicable)	Control system	Main (FSC) Output Category	Species	Activity*
	P5.1- Cardboard packaging	Colour boxes, Colour cards, Gift boxes, Paper carrying case	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services
	P5.2- Corrugated paper packaging	Corrugated Paper boxes	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services
	P5.3-Sacks and bags of paper	Carrier bags	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services
	P7.1- Notebooks	Not applicable	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services
	P7.5-Post and greeting cards	Not applicable	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services
	P7.6- Envelopes	Not applicable	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services
	P7.8-Adhesive labels	Not applicable	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services
	P8.1-Books	Manuals, album of painting	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services
	P8.7-Toys and games made with paper	Paper puzzles, Paper toys	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services
	P10-Other pulp and paper products n.e.c.	Hangtags	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services
	P8.5- Business cards	Not applicable	Transfer system	FSC 100%, FSC Mix, FSC Recycled	Not applicable	Printing and related services

* **Activities:** Primary Processor, Secondary Processor, Printing and related services, Broker/trader with physical possession, Broker/trader without physical possession, Publishing Activities, Distributer / Wholesaler, Retailers, Logging, or Gathering of non-wood products

<p>Chain of Custody Control System</p> <p>A brief description of the system by which the Organization maintains control over the Chain of Custody for all products included on the Organization’s FSC product group list</p>	
<p>i. management system;</p>	<p>The company is a private printing factory, and was founded in Dec. 2016, The total assets are about 1.0 million RMB Yuan, the factory is coverage of 3000M², 16 staffs and 80 operators were employed by the company at present, the products includes paper packaging, paper stationery, printed materials and other pulp and paper products n.e.c., all processes are in the company, no outsourcing process at present. and only used FSC 100%, FSC Mix and FSC Recycled material under transfer system, all company’s orders will be on job-order basis.</p> <p>QA manager Mr. Huang Qi Yong continued to act as management representative and OHSAS representative, he takes overall responsibility for the company’s compliance with all aspects of this standard, the company established FSC management manual and related procedures, the company established 2022 yearly training plan, and the company implemented the training plan.</p> <p>The company equipment includes paper cutting machine, printing press, finished machine, laminating machine, die-cutting machine, etc.</p> <p>Main process as below: FSC order review → production plan → Identifying suppliers → FSC material purchase → FSC material storage → production process (Paper cutting → Printing → surface-treatment → laminating → die-cutting → hand-made → inspection → packing) → FSC certified finished products storage → Loading and shipment → Key personal training and documents control</p> <p>During manufacture process and warehouse process, certified products and uncertified are handled on site, the following measures were used to prevent them mixing,</p> <ol style="list-style-type: none"> a. Identified the certified work in progress by labelling them; b. Work-log with identification blue FSC marker; c. Placing them separately; <p>Identify production line by FSC board while FSC production.</p>
<p>ii. material sourcing;</p>	<ol style="list-style-type: none"> a. Confirm the supplier’s scope and certification status through Http://info.fsc.org; b. Purchase staff will verify whether purchased product was covered within supplier certification scope and certificate’s status; c. Purchase staff also will check the validity of supplier’s certificates once a year, d. Purchased material name, species, quality, supplier name stated on purchasing order.
<p>iii. material receipt and storage;</p>	<ol style="list-style-type: none"> a. The warehouse staff verifies information (quantity / FSC category / product name, etc.) consistent of below document; b. The company identified and three isolated FSC Mix and FSC Recycled material areas were segregated from non-FSC certificated material. c. The company uses physical separation of materials and identification of material segregation methods. <p>From last audit to now, the company purchased 4 orders FSC material, E.g.:</p> <ol style="list-style-type: none"> 1) Purchase order: #2205023 Issued Date: 12 May 2022 Supplier: Dongguan City Renyi Paper Co., Ltd.

	<p>Purchase Guangdong value-added tax invoice: #58683306 Issued date: 18 Jun 2022 Product description and type / Quantity: FSC Mix credit paper 157g, 1.7662 Tons FSC claim: FSC Mix credit FSC Certificate No: SGSHK-COC-330428 Supplier delivery order: #RYXSD22054575 Issued date: 14 May 2022</p> <p>2) Purchase order: #2205025 Issued Date: 12 May 2022 Supplier: DONGGUAN ZIDONG PAPER CO., LTD. Purchase Shenzhen value-added tax invoice: #19678906 Issued date: 20 Jun 2022 Product description and type / Quantity: FSC Recycled 100% Coated paperboard, 250g, 104.2x75cm, 1.8111 tons. FSC claim: FSC Recycled 100% FSC Certificate No: SAI-COC-004260 Supplier delivery order: #ZD20220515106 Issued date: 15 May. 2022</p> <p>3) Purchase order: #2205022 Issued Date: 12 May 2022 Supplier: Dongguan Fuye Paper Co., Ltd. Purchase Guangdong value-added tax invoice: #47910818 Issued date: 15 Jul 2022 Product description and type / Quantity: FSC Recycled 100% Paperboard 787mmX1092mmX600g, 4.78 Tons FSC claim: FSC Recycled 100% FSC Certificate No: SGSHK-COC-011175 Supplier delivery order: #2093726 Issued date: 18 Mar. 2022</p>
<p>iv. volume control and the applied system for controlling FSC claims (transfer, percentage, and/or credit system);</p>	<p>The company established annual data summary, and use transfer system to control FSC claim. Conversion factor will be calculated by each main processing step. All product groups are under transfer system and they are all controlled by job order.</p>
<p>v. sales and delivery;</p>	<p>Invoice and relative shipment documents were remark FSC products type, company's FSC certificate number, FSC material category and percentage. The company has documented procedures for sales process. They use the IT system, relevant staff is trained and aware of the procedures. From last audit to now, the company sold 2 order FSC products, e.g.:</p> <p>1) Customer purchase contract #CT22203024 Issued date Mar.31, 2022 Proforma invoice: #PI-GCLSZ-220301_XT Issued date: Mar.29, 2022 Customer: Greenward Co., Ltd. Product description and type/Quantity: FSC Mix 100% colour boxes 17766 sets FSC claim: FSC Mix 100% FSC certificate No.: TSUD-COC-001109 Packing list: #PL-GCLSZ-220601 Issued date: Jul.13, 2022</p> <p>2) Proforma invoice # PI-MACACO-220401_XT Issued date 28 Apr</p>

	<p>2022</p> <p>Customer: Macaco Ltd.</p> <p>Product description and type/Quantity: FSC Mix credit books 500pcs;</p> <p>FSC claim: FSC Mix credit books</p> <p>FSC certificate No.: TSUD-COC-001109</p> <p>Packing list: # PI-MACACO-220701 Issued date: Jul.1, 2022</p>
vi. labelling (if applicable), including the “trademark use management system”, if applicable;	<p>a. Assign responsible person to be responsible for label apply and label usage;</p> <p>b. FSC label usage control procedure was established.</p> <p>The company didn't establish “trademark use management system”, if the company uses FSC logo, it will submit to CB for approval.</p> <p>No FSC trademark usage since last audit.</p>
vii. outsourcing arrangements.	No outsourcing process and contractor, no applicable.

Critical Control Points	
<p>Critical control points are those places or situations in the supply chain where materials from uncertified/uncontrolled sources could enter or where certified/controlled materials could leave the system.</p>	
CCP	Control measure
Identifying suppliers: Non-FSC suppliers may be identifying as FSC suppliers.	<p>a. Confirm the supplier's scope and certification status through Http://info.fsc.org;</p> <p>b. Purchase staff will verify whether purchased product was covered within supplier certification scope and certificate's status;</p>
FSC certified raw material purchase: Non-FSC certified material may be purchased as FSC certified material.	<p>a. Purchase staff also will check the validity of supplier's certificates once a year,</p> <p>b. Purchased material name, species, quality, supplier name stated on purchasing order.</p>
FSC certified raw material receiving and storage: FSC certified raw material may be mixed with non-FSC certified material.	<p>a. The warehouse staff verified information (quantity / FSC category / product name, etc.) consistent of below document;</p> <p>b. The company identified three isolated FSC 100%, FSC Mix and FSC Recycled paper material areas and were segregated from other non-FSC certificated material.</p> <p>c. The company uses physical separation of materials and identification of material segregation methods.</p>
Manufacturing process: FSC certified material may be mixed with non-FSC certified during the production process (Manufacturing process includes “Paper cutting, Printing, surface-treatment, laminating, die-cutting, hand-made, inspection, packing”)	<p>a. The relative machine / process identified as FSC order production, for semi-products were storage in FSC specified area, all of production sheet was stamped with blue “FSC” as identification, Key operator in production line is further identified and need to sufficient training;</p> <p>b. Conversion ratio is calculated for each main processing step.</p>

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<p>FSC finished products and storage: FSC Certified packed products may be mixed with non-FSC certified packed products</p>	<p>a. The warehouse staff verified information (quantity / FSC category / product name, etc.) consistent of below document;</p> <p>b. The company identified three isolated FSC 100%, FSC Mix and FSC Recycled finished products areas and were segregated from other non-FSC certificated finished products;</p> <p>c. The company uses physical separation of materials and identification of material segregation methods.</p>
<p>Distribution, delivery and invoice to customer: FSC Certified sales documentations may be mixed with non-FSC certified sales documentations.</p>	<p>Invoice and relative shipment documents were remark FSC products type, the company's FSC certificate number, FSC material category and FSC claim.</p>

<p>Description and review of any complaints, disputes, or allegations of nonconformities received by the organization and/or the certification body.</p>
<p>From last audit to now, the company didn't receive FSC complaints, disputes, or allegations of nonconformities.</p>

<p>Evaluation Process</p>	
<p>Total onsite auditing time (hours) <i>(list hours per audited sites for multisite audits)</i> <i>(total audit time in case of combined audit)</i></p>	<p>8 h</p>
<p>Description of substantial changes since the previous audit <i>(Especially new sites, control systems, product groups and FSC claims)</i>.</p>	<p><input checked="" type="checkbox"/> Yes, explanation: 1) new version of standard implemented FSC-STD-40-004 V3.1</p> <p><input type="checkbox"/> No</p>
<p>Is there a reason for new or revised FSC certificates? <i>(Such as newly added sites, changes in scope of activity, change in the address or the name of the client or new version of the FSC standard applicable)</i></p>	<p><input type="checkbox"/> Yes, the reason:</p> <p><input checked="" type="checkbox"/> No</p>
<p>Focus recommended for the next audit:</p>	<p>Purchase, production, sales, logo</p>

Part 2, 3, 4 and 5 can be deleted if not applicable (leave the header and mark "NA")

PART 2: Outsourcing - NA

List of Contractors under Outsourcing Arrangements

PART 3: Evaluation of supplier audit program for reclaimed materials-NA

PART 4: Multisite, Group Certification and single certification with multiple sites (incl. warehouses) - NA

PART 5: FSC CONTROLLED WOOD - NA

Annex 1: List of findings

Non-compliance	Company/ Site	Minor/ Major/ Obs	Normative reference (Standard indicator No.)	Non-compliance (NC) & Justification of the grading of the NC	Corrective Action Request <i>(must be based on FSC requirements)</i>	Deadline to correct the NC	Status (open /closed) and date	Objective evidence for closure - action taken subsequent to the audit and date of closure
None finds 2022								

Are any findings related to “false claims”? yes no In case of false claims the CB records this information in the FSC database (as non-public information)

Note:

Major non-compliances: If major non-compliances are not corrected within the defined deadline, the certificate will be suspended (latest one month after the deadline). The occurrence of five or more major non-compliances lead to suspension of the certificate immediately.

Minor non-compliances: If minor non-compliances are not corrected within the defined deadline, minor are upgraded to major non-compliances.

Deadline to correct the non-conformity: Until this date, the certificate holder must provide evidence to the audit team that the NC has been corrected.

In case of major NC, this deadline is two months after the closing meeting of the audit (in exceptional cases this deadline can be prolonged). The audit team must assess the response and close the major NC latest three months after the closing meeting (in exceptional cases this due date can be prolonged).

In case of minor NCs, the deadline is the same date as closure of the NCs: 12 months.

Observation (OBS): can be raised early stages of a problem which does not yet constitute a nonconformity, but which the auditor considers may lead to a future nonconformity.

False claim: An FSC claim made on a sales document for products that are not eligible to be sold as FSC certified or FSC Controlled Wood. A false claim is different from an inaccurate claim, in which a product that is eligible to be sold as FSC certified is sold with the wrong claim. **Consider ADVICE-40-004-18 for consequences from false claims, in particular clause 2 regarding “blocking” of certificates.**

Annex 2: List of findings from previous audits

All previous non-compliances of the 5-year certification cycle shall be included in the table.

Non-compliance	Company/Site	Minor/Major/Obs	Normative reference (Standard indicator No.)	Non-compliance	Corrective Action Request (must be based on FSC requirements)	Deadline to correct the NC	Status (open/closed) and date	Objective evidence for closure - action taken subsequent to the audit and date of closure
None finds 2021								
01/MIN/2020	Dongguan Brotherbox Industrial Co., Ltd. (Single site)	Minor	FSC-STD-40-004 V3-0 2.3	<p>From last audit to now, the company purchased three orders FSC material, in one of them, the supplier "Samson Paper (Shenzhen) Co., Ltd. DNV-COC-000888" indicated an incorrect FSC certificate No. in the Supplier delivery order #20200520, though correct FSC certificate No. in the purchase Shenzhen value-added tax invoice #07300692, and purchase staff knew the requirement, this finding is graded as a minor NC, as it can be considered a temporal lapse.</p> <p>自上次审核至现在, 公司采购了 3 单 FSC 产品, 在其中 1 单上, 供应商"Samson Paper (Shenzhen) Co., Ltd. DNV-COC-000888"标注了错误的 FSC 证书编号在送货单#20200520上, 尽管有标准正确的证书编号在采购"深圳增值税专用发票#07300692"上, 而且采购人员也了解这个要求, 这个发现点分类为轻微不符合项, 因为是一个临时过失。</p>	<p>If the purchase invoice and delivery documentations separately income to the company, The FSC supplier shall indicate correct FSC certificate No. in the delivery documentations, and get correct FSC information from the supplier.</p> <p>如果采购发票和送货文件分开到达公司, 供应商需要在送货文件上标注正确的 FSC 证书编号, 从供应商得到正确的 FSC 信息。</p>	04/09/2021	Closed	From last audit to now, the company purchase 3 FSC order, The supplier had indicated FSC-COC certificate number in the invoice, order and Packing list and related staff have been trained FSC requirements on 10 Sep 2020, The evidence can be acceptable, and the minor non-compliance can be on 30 Aug 2021.
01/MIN/2019	Dongguan Brotherbox Industrial Co., Ltd. (Single site)	Minor	FSC-STD-40-004 V3-0 4.4	<p>During this visit, the annual volume summary of "inputs purchased/received" and "Inputs used for production" weren't statistics by the company before this visit, and calculated the summaries with auditor when on-site auditing, and interviewed with annual volume summaries staff, he knew how to be statistic the summaries, this finding is graded as a minor NC, as it can be considered a temporal</p>	<p>The company shall be statistics the annual weight summary in time.</p> <p>公司需要及时计算年度汇总。</p>	04/09/2020	Closed	During this visit, the annual weight summary of "inputs purchased / received" and "Inputs used for production" had been statistics by the company, the statistics was correct, the annual volume summaries staff had been

				<p>lapse.</p> <p>在这次审核时，“采购输入”和“用于生产投入”年度汇总未在审核前计算，是在审核时和审核员一同计算的，尽管和年度汇总统计人员面谈，他了解如何进行年度汇总统计，这个发现点分类为轻微不符合项，因为是一个临时过失。</p>				<p>trained the FSC requirements on May.11-12, 2020, The evidence can be acceptable, and the minor non-compliance was closed on 04/09/2020.</p>
02/MIN/2019		Minor	<p>FSC-STD-40-004 V3-0 5.1 g)</p>	<p>From last audit to now, the company sold two orders FSC products, the company only indicated FSC claim “FSC” instead of “FSC Mix 100%” in the Sales commercial invoice “#INV-DK-190601-1” and “#INV-DK-190601-2”, though the FSC claim “FSC Mix 100% was indicated in the Packing list “#PL-DK-190601-1” and “#PL-DK-190601-2”, and sales staff knew how to prepare the sales documents, this finding is graded as a minor NC, as it can be considered a temporal lapse.</p> <p>自上次审核至现在，公司销售了两单产品，但是在其中一单销售商业发票“#INV-DK-190601-1”和“#INV-DK-190601-2”上注明的FSC声明是“FSC”而不是正确的“FSC Mix 100%”，尽管在装箱单“#PL-DK-190601-1”和“#PL-DK-190601-2”注明的正确声明“FSC Mix 100%”，销售人员也知道如何准备销售文件，这个发现点分类为轻微不符合项，因为是一个临时过失。</p>	<p>The company shall indicate the correct FSC claim in the sales commercial invoice, and transfer the correct FSC information to the customer.</p> <p>公司应该注明准确的 FSC 声明在销售商业发票上，传递准确的 FSC 信息给客户。</p>	04/09/2020	Closed	<p>From last audit to now, the company sold one order FSC products, The company had indicated detailed FSC claim “FSC Mix 100%” in the Sales commercial invoice “#INV-GCLSZ-200601” and Packing list #PL-GCLSZ-200601”, and sales staff had been trained FSC requirements on May.11-12, 2020, The evidence can be acceptable, and the minor non-compliance was closed on 04/09/2020.</p>
01/MIN/2018	Dongguan Brothbox Industrial Co., Ltd. (Single site)	Minor	<p>FSC-STD-40-004 V3-0 4.1</p>	<p>During this audit, the company conducted one simulation production order #TCZL1807118 FSC Mix Credit colour boxes for wine 11X6X25cm 85160pcs/2828.8KG, the total conversion factor should be 73.8%, was wrongly calculated by the production staff, though interviewed with production staff, they knew how to calculate the conversion factor, was clerical error, can be consider as Minor non-compliance.</p>	<p>The company shall accurately calculate the conversion factor.</p> <p>公司需要准确地计算转换率。</p>	10/09/2019	Closed	<p>From last audit to now, the company produced 2 orders FSC products, e.g.: 1) Product order #TCZL1905001-004 FSC Mix 100% Suitcase made of FSC paper #DK-1190401R2 7210pcs/2054.85KG Issued on Apr.20, 2019, the conversion factor should be 71.9%, 20 Product order #TCZL1905093</p>

			<p>本次审核，公司作了一单模拟生产单 #TCZL1807118 FSC Mix Credit 酒彩盒 wine 11X6X25cm 85160pcs/2828.8KG，但是总转换率应该是 73.8%，但是被生产部人员错误地计算，尽管和生产部人员面谈，他们知道如何计算转换率，是笔误，可以看作是一个轻微不符合项。</p>				<p>FSC Mix 100% Stacking box 12300sets(36900pcs)/3033.6KG Issued on Apr.22, 2019, the conversion factor should be 47.4%, The total conversion factors were correctly calculated by production staff, and production staff had been trained the conversion factors requirements on Mar.04, 2019, The evidence can be acceptable, and the minor non-compliance was closed on 04/09/2019.</p>
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Annex 3: Material Balance:

- **Product Group:** Based on product *type* classification (see FSC-STD-40-004a) **and** specification on input and output characteristics
- **Conversion factor:** The ratio between material quantity entering and leaving a given transformation process used by the organization.
- **CoC System:** Transfer, Percentage, Credit
- **Input / Output still in stock:** at the **end** of the reporting period. **If relevant**, include a number for the input in stock at the beginning of the reporting period

For reporting period: 01 Sep 2021 to 29 Aug 2022

Site in case of multi-site*:										
INTPUT							OUTPUT			
Product Group	FSC claim	Input received [unit]	Input still in stock [unit]	Inputs used for production [unit]	Conversion Factor	CoC System	Product Group	FSC claim	Outputs still in stock [unit]	Output sold [unit]
P3.2 Coated paperboard 白板	FSC Recycled 100%	1.8111 tons	0	1.7635 tons (used for non-FSC production 0.0476 tons)	55.8%	Transfer system	colour boxes (P5.1)	FSC Mix 100%	0	17766 sets (4.6156 tons)
P2.1 Copying, printing, communication paper 双铜	FSC Mix Credit	1.7662 tons (last balance 0.2604 tons)	0	1.8435 tons (used for non-FSC production 0.1831 tons)						
P3.1 Uncoated paperboard 灰板	FSC Recycled 100%	4.7800 tons	0	4.6537 tons (used for non-FSC production 0.1263 tons)						
P3.2 Coated paperboard 单铜	FSC Mix Credit	0.9277 tons	0	0.7672 tons (used for non-FSC production 0.1605 tons)	70.1%	Transfer system	P8.1 Books (album of painting)	FSC Mix Credit	0	500 PCS (0.5382 tons)