

# RESA Audit Summary Report

<b>Audit Report Number:</b>	AUD-3062		
<b>Factory Name:</b>	Huizhou Wisva Optoelectronics Co., Ltd		
<b>Audit Firm:</b>	Intertek		
<b>Auditor ID:</b>	SXZ9178	<b>Auditor Name:</b>	Stanley Zhan
<b>Reviewer ID:</b>	T2LEWL	<b>Reviewer Name:</b>	Wendy Liu
<b>Audit Date:</b>	2020/01/15 18:30	<b>Review Date:</b>	2020-01-22 03:28:35
<b>Audit Score:</b>	90.64%	<b>Audit Grade:</b>	B
<b>Risk Level:</b>	Orange		

## Factory Profile

<b>Factory ID:</b>	2008342
<b>Physical Address:</b>	5F, No.6 of AAI Tech. Huizhou Ind. , Da Ya Bay West District , Huizhou , Guangdong , 516080 , China
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## Deficiency Summary

**Critical** List all "Critical" deficiencies sorted by Question number

**High** List all "High" deficiencies sorted by Question number

**Question:** **9.18 All machines have the necessary required safety mechanisms in place.**

**Answer:** Non Compliance

**Finding:** Requirement: In accordance with National Safety Technical Code for Electric Equipments-GB19517-2009 Article 2.3.3, Adequate measures shall be implemented to prevent anyone from touching or getting close to dangerous moving parts while the electric equipment is in normal operation, to prevent metal craps and dust from flying off, to prevent liquid and gas from overflowing, and to avoid extremely high or low temperature of the equipment' s outside.

Finding: Machines without protective devices. During facility tour, auditor found that no safety guard were installed for the hot part of 2 warm air machines in assembly section on the 5/F of the building.

Judgment Base: The facility indicated that the facility was not aware of the requirement. So the rating of Non compliance was selected for this finding.

**Attachments:** [Evidence for 9.18.JPG](#)

**Medium** List all "Medium" deficiencies sorted by Question number

**Question:** **6.02 The facility is in compliance with local or national laws limiting the number of overtime hours per day / per week / per month.**

**Answer:** Non Compliance

**Finding:** Requirement: In accordance with the PRC Labour Law article 41, the employing unit may extend working hours due to the requirements of its production or business after consultation with the trade union and labourers, but the extended working hour for a day shall generally not exceed one hour; if such extension is called for due to special reasons, the extended hours shall not exceed three hours a day under the condition that the health of labourers is guaranteed. However, the total extension in a month shall not exceed thirty six hours.

Finding:

Facility without a Comprehensive Working Hours System

Total 10 workers and 20 samples be reviewed, the peak month for the facility is not obviously. Through reviewing the records, it was noted that:

1) The monthly overtime hours of 8 out of 10 randomly selected employees were 48 hours in December 2019 (current month);

2) The monthly overtime hours of 3 out of 5 randomly selected employees were 68 hours in July 2019 (random month);

3) The monthly overtime hours of 3 out of 5 randomly selected employees were 74 hours in March 2019 (random month).

The maximum weekly working hour (normal plus overtime) was 56 hours/week in July 2019 and March 2019.

Judgment Base: The facility management was clear about the working hours requirement, but they could not control the working hours effectively due to production need, so the rating of Non compliance was selected for this finding.

Remark: Based on the provided attendance records, the daily overtime hours were 0-2 hours for all randomly selected samples in all three sampled months of December 2019, July 2019 and March 2019.

**Attachments:**

**Question:** **9.24 There are safety and warning signs indicating the hazards of exposure to chemicals in the facility (if necessary).**

**Answer:** Partial Non Compliance

**Finding:** Requirement: In accordance with the Regulations on the Safe Use of Chemicals in Workplace, Article 12 The unit, which uses chemical, shall set up identification label for all chemicals in using. For dangerous chemical, a safety label shall be applied and MSDS be provided for worker.

Finding: No safety label for hazardous chemical. During facility tour, auditor found that there was no label for 30% chemicals (such as thinner) using at assembly workshop on 4/F and 5/F at production building.

Judgment Base: Considering the facility knew the requirement and some chemicals without safety labels in assembly workshop, so auditor gave the rating of partial compliance for this finding.

**Attachments:** [Evidence for 9.24.JPG](#)

**Question:** **9.25 Relevant MSDS's for all chemicals used in the facility are posted or readily available in places where chemicals are stored, used or handled.**

**Answer:** Partial Non Compliance

**Finding:** Requirement: In accordance with the Regulations on the Safe Use of Chemicals in Workplace, Article 12 The unit, which uses chemical, shall set up identification label for all chemicals in using. For dangerous chemical, a safety label shall be applied and MSDS be provided for worker.

Finding: MSDS were not available for hazardous chemicals. During facility tour, auditor found that the facility used thinner at the assembly workshop on 4/F and 5/F at production building. However, no MSDS (Material Safety Data Sheet) was provided in these areas.

Judgment Base: Judgment Base: Considering the facility knew the requirement and some chemicals without MSDS in assembly workshop, so auditor gave the rating of partial compliance for this finding.

**Attachments:**